Secure Insight’s Closing Agent Risk Management Solutions Make a Difference for Lenders.

We handle it all for you, from vetting, risk analysis & monitoring, to real time reporting.

Closing Agent results are viewable via our Closing Agent Search Engine (CASE™) 24/7.
Secure Insight now offers different Levels of Vetting of the Closing Agents:

- PARTIAL VETTING
- FULL VETTING
- LIMITED VETTING

**** IMPORTANT ****
Each Lender’s Compliance / Risk Management Department should determine the Level of vetting required and instruct all CASE™ users of their policy.
Status: “Verification In Process”
In the CASE™ display, prior to Secure Insight processing, the Vetting Level will display as “TBD” and no boxes will be checked.
### Closing Agent Search Engine Results

The information presented herein is compiled from third party sources. Although we have taken significant steps to verify it, the accuracy of third party data cannot be fully guaranteed. Risk ratings are subject to change at any time based upon new information, and therefore the data reported and the associated risk rating may change after the reporting date. Risk analysis reports fall into different assessment levels based upon the depth of information received and reviewed. By accessing this database you acknowledge the foregoing.

<table>
<thead>
<tr>
<th>Registration No.</th>
<th>74047</th>
</tr>
</thead>
<tbody>
<tr>
<td>Last Name, First Name</td>
<td></td>
</tr>
<tr>
<td>Risk Rating:</td>
<td>Not Rated</td>
</tr>
<tr>
<td>Secure Insight Registration Expiration Date</td>
<td>12/15/2017</td>
</tr>
<tr>
<td>Secure Insight Status:</td>
<td>Verification In Process</td>
</tr>
<tr>
<td>Watch Status:</td>
<td>No</td>
</tr>
<tr>
<td>Entity:</td>
<td>172800</td>
</tr>
</tbody>
</table>

#### Business Name
- **Business Name**: ABC Title Company, Inc.
- **Business Address**: 1 Market Street, Philadelphia, PA 19106
- **Business Phone**: 215-525-3660

#### License(s)
- **State License**: NJ
- **Individual**: Title Agent/Producer, NJ

### Vetting Level: TBD

- **Vetting Level**:
  - Full - Company & Individual
  - Partial - Company Only
  - Limited - Limited Data Provided

**Click UPGRADE to request closing agent undergo further vetting.**

#### Role:
- **Funding and Docs**

#### Watch Source:
- **N/A**

#### Secure Insight Reason(s):
- **Expired Item(s)**: None

- **Next Expiration Date**:
  - E&O Insurance: 06/21/2017
  - FB Insurance: 07/01/2017
  - Title Agent/Producer PA: 04/26/2017

- **E&O Insurance Limit**: $1,000,000
- **Fidelity Limit**: $150,000

- **ABA Number**: 22222222
- **Account Number**: 123456789

### Add to my agents list | Add to my watchlist

To go back to your search result, use the browser back button.
Level of Vetting:  
PARTIAL VETTING – Company Only

Closing Agents will register without providing Non-public Personal Information (NPPI). Risk analysis at this level includes vetting only company information. (Note the individual Closing Agent’s licensing information will still be checked and reported in CASE™ however no other vetting will be performed on the individual Closing Agent.)
The information presented herein is compiled from third party sources. Although we have taken significant steps to verify it, the accuracy of third party data cannot be fully guaranteed. Risk ratings are subject to change at any time based upon new information, and therefore the data reported and the associated risk rating may change after the reporting date. Risk analysis reports fall into different assessment levels based upon the depth of information received and reviewed. By accessing this database, you acknowledge the foregoing.

Registration No. 74047
Last Name, First Name

Vetting Level: Partial

Risk Rating: Low

Secure Insight Registration Expiration Date: 12/15/2017
Secure Insight Status: Completed
Watch Status: No

Entity: 1712800

Business Name | Business address | Business Phone
--- | --- | ---
ABC Title Company, Inc. | 1 Market Street Philadelphia, PA 19106 | 215-625-3660

Add to my agents list | Add to my watchlist

To go back to your search result, use the browser back button.
How to Upgrade

“Lender Admin” and “Super Admin” users may request the Closing Agent advance from PARTIAL to FULL Vetting Level by clicking the UPGRADE button on the CASE display. This will trigger a request for the Closing Agent to provide NPPI in order for us to upgrade their vetting. Success of the upgrade is subject to the Closing Agent’s cooperation.
Closing Agent Search Engine Results

The information presented herein is compiled from third party sources. Although we have taken significant steps to verify it the accuracy of third party data cannot be fully guaranteed. Risk ratings are subject to change at any time based upon new information, and therefore the data reported and the associated risk rating may change after the reporting date. Risk analysis reports fall into different assessment levels based upon the depth of information received and reviewed. By accessing this database you acknowledge the foregoing.

Registration No. 74094
Last Name, First Name

Risk Rating: Low

Secure Insight Registration Expiration Date: 12/15/2017
Secure Insight Status: Completed
Watch Status: No

Entity: 1712800

Vetting Level: Partial

- Full - Company & Individual
- Partial - Company Only
- Limited - Limited Data Provided

Click UPGRADE to request closing agent undergo further vetting
Upgrade
Upgrade in Process.

Role: Funding and Docs
Watch Source: N/A
Secure Insight Reason(s):

Expired Item(s):
- E&O Insurance 06/21/2017
- FB Insurance 07/01/2017
- Title Company PA 07/16/2017

E&O Insurance Limit: $1,000,000
Fidelity Limit: $150,000

ABA Number: 222222222
Account Number: 123456789

To go back to your search result, use the browser back button.
Level of Vetting:
FULL VETTING – Company & Individual
This includes vetting the settlement company AND the individual Closing Agent. Closing Agents must provide Non-public Personal Information (NPPI), specifically home address, date of birth, and the last four digits of their Social Security number in the application.
Registration No. 74047
Last Name, First Name

Risk Rating: Low

Secure Insight Registration Expiration Date: 12/15/2017
Secure Insight Status: Completed
Watch Status: No
Entity: 1712800

Business Name | Business address | Business Phone
--- | --- | ---
ABC Title Company, Inc. | 1 Market Street, Philadelphia, PA 19106 | 215-625-3660

Veteran Level: Full

Full - Company & Individual
Partial - Company Only
Limited - Limited Data Provided

Click UPGRADE to request closing agent undergo further vetting:
Upgrade
Upgrade not applicable.

Roles: Funding and Docs
Watch Source: N/A
Secure Insight Reason(s):

Expired Item(s): None
Next Expiration Date:
- E&O Insurance: 06/21/2017
- FB Insurance: 07/01/2017
- Title Agent/Producer PA: 04/28/2017

E&O Insurance Limit: $1,000,000
Fidelity Limit: $150,000

ABA Number: 2222222222
Account Number: 123456789

To go back to your search result, use the browser back button.
Level of Vetting:
LIMITED – Limited Data Provided & Vetted
A modified level of vetting where limited data was provided to Secure Insight, which may differ depending upon each registration. Secure Insight may receive a combination of partial company and/or Closing Agent information. This is where you will find most of the National Underwriters and can be closely compared to our QuickCheck offering (but it is not replacing it).
SAMPLE

Closing Agent Search Engine Results

The information presented herein is compiled from third party sources. Although we have taken significant steps to verify it, the accuracy of third party data cannot be fully guaranteed. Risk ratings are subject to change at any time based upon new information, and therefore the data reported and the associated risk rating may change after the reporting date. Risk analysis reports fall into different assessment levels based upon the depth of information received and reviewed. By accessing this database you acknowledge the foregoing.

Registration No. 74047
Last Name, First Name

Vetting Level: Limited

Risk Rating: Low

Secure Insight Registration Expiration Date: 12/15/2017
Secure Insight Status: Completed
Watch Status: No
@Entity: 1712800

Business Name | Business Address | Business Phone
--------------|-----------------|-----------------|
ABC Title Company, Inc. | 1 Market Street Philadelphia, PA 19106 | 215-625-3660

Role: Funding and Docs
Watch Source: N/A
Secure Insight Reason(s): 201 - Modified Vetting/Direct Office of a National Title Producer

Expired Item(s):
- E&O Insurance: 06/21/2017
- FB Insurance: 07/01/2017
- Title Agent/Producer PA: 04/28/2017

Next Expiration Date:
- E&O Insurance: 06/21/2017
- FB Insurance: 07/01/2017
- Title Agent/Producer PA: 04/28/2017

E&O Insurance Limit: $1,000,000
Fidelity Limit: $150,000

ABA Number: 123456789

To go back to your search result, use the browser back button.
## ClosingGuard™ Protection Points

<table>
<thead>
<tr>
<th>Protection Point</th>
<th>FULL</th>
<th>PARTIAL</th>
<th>LIMITED</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Company &amp; Agent</strong></td>
<td>19</td>
<td>13</td>
<td>9</td>
</tr>
<tr>
<td>Company Address and Identity Verified</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Individual Agent Address and Identity Verified</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>All Company Licenses History Reviewed; Verified &amp; Monitored</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Individual Agent Licenses Verified and License History Monitored</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Company Business Status and BBB Rating Verified</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>Company Criminal History checked and Monitored</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Individual Agent Criminal History checked and Monitored</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Company Civil Litigation History researched, analyzed &amp; Monitored</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Individual Civil Litigation History researched, analyzed &amp; Monitored</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gov’t Watch List search-Company (OFAC, HUD-LDP, GSE, CFPB, SAM, DOJ, FTC)</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>Gov’t Watch List search-Individual (OFAC, HUD-LDP, GSE, CFPB, SAM, DOJ, FTC)</td>
<td>✓</td>
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<tr>
<td>Company Negative News searched, analyzed and monitored</td>
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<tr>
<td>Individual Negative News searched, analyzed and monitored</td>
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<tr>
<td>Trust Account verified, analyzed &amp; History obtained from Bank</td>
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<tr>
<td>Company Fidelity Bond &amp; Insurance Coverage Verified with Ins. Agent &amp; Monitored</td>
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<tr>
<td>Company Relationship with Title Underwriter Verified</td>
<td>✓</td>
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<tr>
<td>Company Certification of Internal Controls and CFPB Compliance</td>
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<tr>
<td>Company Performance Rating Displayed (Early 2017)</td>
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<td>✓</td>
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<tr>
<td>SSI Process Backed by Insurance for Errors and Omissions</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
</tbody>
</table>
QUESTIONS?

Contact Secure Insight
877-758-7878
or
support@secureinsight.com